

Schedule 5: Financial Declaration and Information:

Financial Declaration		
Prequalified Supplier Name: _____		
Tick <input checked="" type="checkbox"/> the applicable box	Yes	No
(a) Are there any significant events, matters or circumstances which have arisen since the end of the last financial year which may affect the operations of the Prequalified Supplier?		
(b) Are there any mergers or acquisitions that can be notified, either recent (within the past 12 months) or which are imminent?		
(c) Are there any court proceedings of any kind, actual or threatened, against the Prequalified Supplier, its parent or associated entities or any director of the applicant its parent or associated entities within the past 5 years?		
(d) Are there any insolvency or bankruptcy actions against the applicant, a director of the Prequalified Supplier, or its parent or associated entities within the past 5 years?		
(e) Are there any de-registration actions against the Prequalified Supplier, its parent or associated entities within the past 5 years?		
(f) Are there any actions of insolvency proceedings, actual or threatened (including Voluntary Administration, Application to Wind Up, or other) against the Prequalified Supplier, its parent or Associated entities within the past 5 years?		
(g) Are there any breaches of or defaults under any agreement, contract, order or award binding on the Prequalified Supplier, or its parent or associated entities?		
(h) Are there any other factors which could impact on the financial ability to successfully perform Services under the Agreement?		
(i) Is the Prequalified Supplier trading solvent, and able to meet its debts as and when they fall due in the normal course of business?		
Provide full details of the applicable circumstances if you answered "Yes" to any of questions (a) – (h) or if you answered "No" to question (i). Otherwise, state "Not Applicable."		

Financial Information

Does the Prequalified Supplier agree to provide to the Corporation (if requested) its financial data concerning its business and related entities including:

Tick <input checked="" type="checkbox"/> the applicable box	
Yes	No

- a summary of charges, (registered or otherwise) liens or encumbrances affecting the assets or the ownership of the Service Provider
- details of the Service Provider's Bank and details of Overdraft facilities, Bills and or Bank Guarantees currently in place;
- details of the existence of Cross Guarantees by the Service Provider with its parent or associated entities;
- details of the actual, expected or contingent liabilities of the Service Provider and its parent entity, as at the Quote Closing, which may affect the Service Provider's ability to meet contractual obligations;
- any changes to company structure or to ownership or to directors that can be notified, either recent (within the past 12 months) or which are imminent;
- audited financial statements comprising profit and loss account, cash flow statement, balance sheet and supporting notes for the preceding three years for the Service Provider and related entities (e.g. Parent company);
- where audited financial statements are not available for the Service Provider or related entities, management accounts for the Service Provider or its related entities, including all profit and loss information, for the preceding three years;
- where there has been a period greater than three months between the end of the Service Provider's most recent financial year and the Quote Closing for this Quote, the most recent management accounts of the Service Provider and its related entities, including profit and loss statement, cash flow statement, balance sheet and other relevant financial information;
- details of the proposed means of funding which will, in the short and long term, provide for the acquisition of sufficient assets and working capital to meet the requirement of this contract; a cash flow statement may be required;
- a statement from a recognised accounting firm (being a member of the Australian Society of Accountants or the Institute of Chartered Accountants in Australia or a similar organisation if the Service Provider is based outside Australia) attesting to the financial capability of the Service Provider to meet contractual obligations;
- details of the full corporate and ownership structure of the group of which the Service Provider is a member entity, including a group structure chart showing ownership details;
- details regarding principals of the Service Provider (directors, partners including key staff) qualifications and business experience;
- details of the Service Provider's contract performance history for the past 24 months including past projects, type and amount of contract;
- details of current Work in Progress including details of current contracts with State Government Agencies; Local Government and Federal Government; and
- any other factors the Service Provider believes will support its position by demonstrating its financial capacity to meet contractual obligations.